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## TRANSMITTAL FORM

to be used for all correspondence after initial filing)

Application Number	10/055,684
Filing Date	January 23, 2002
First Named Inventor	Robert
Group Art Unit	1714
Examiner Name	To Be Assigned
Attorney Docket Number	A34926-PCT-USA-A 070337.03

Total Number of Pages in This Submission

### ENCLOSURES (check all that apply)

- ☐ Fee Transmittal Form
- ☐ Fee Attached
- ☐ Amendment / Reply
- ☐ After Final
- ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☒ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/Incomplete Application
- ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53


- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) \_\_\_\_\_

- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Other Enclosure(s) (please identify below):

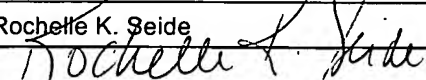
1. Forty-Eight (48) References
2. International Search Report

Remarks

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	BakerBotts LLP 30 Rockefeller Plaza New York, NY 10112	
Signature		Att Name: Rochelle K. Seide PTO Reg: 32,300
Date	June 25, 2002	

### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: June 25, 2002		
Typed or printed name	Rochelle K. Seide	
Signature		Date June 25, 2002



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PATENT

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TC 1700

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Robert et al.  
Serial No. : 10/055,685 Examiner : To Be Assigned  
Filed : January 23, 2002 Group Art Unit : 1714  
For : RUBBER COMPOSITION USABLE AS A TIRE TREAD

INFORMATION DISCLOSURE STATEMENT

I hereby certify that this paper is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231

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Date of Deposit

Rochelle K. Seide

Attorney Name

Rochelle K. Seide  
Signature

32,300

PTO Registration No

June 25, 2002

Date of Signature

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), applicants bring to the attention of the Examiner the documents listed on the attached PTO 1449 and respectfully request that the listed documents be considered by the Examiner and made of record in the above-captioned application. Copies of the listed documents are enclosed.

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Enclosed are US Patent Nos. 6,013,718 and 5,665,812, which correspond to foreign language patent documents, French Patent Application No. FR-A-2 740 778 and European Patent Application EP-A- 692 493, respectively, both cited on page 4 of the specification. Also enclosed is US Patent No. 6,071,995 which corresponds to European Patent Application No. EP A 877 047, cited on page 4 of the specification.

Enclosed are US Patent Nos. 5,077,346 and 3,108,994, which correspond to WO91/04993 and GB-A 921 803, respectively, both of which are cited on page 5 of the specification. Also enclosed is US Patent No. 6,380,321 which corresponds to European Patent document No. EP-A 1 072 613, cited on page 13 of the specification.

Enclosed are US Patent Nos. 6,380,321 and 5,900,449, which correspond to European Patent Application Nos. EP-A 0 735 088 and EP-A 0 810 258, respectively, cited on pages 16 and 17 of the specification.

There are no readily available English language translations of East German Patent documents, DD-A-139,661 and DD-A-236537, cited on page 6 of the specification.

Enclosed are English language abstracts for Japanese patent documents, JP-A-07/138411, JP-A-07/138412 and JP-A-07/292159, cited on pages 6 and 7. Also enclosed is the English language abstract for European Patent Document No. EP-A 1 043 357, cited on page 19.

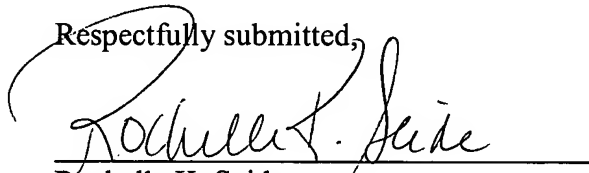
Enclosed is US Patent No. 6,372,855, which corresponds to German Patent No. DE 199 41 166, cited on the International Search Report for International Patent Application No. PCT/EP01/05801, a copy of which is enclosed. Also enclosed is US Patent No. 5,902,856, which corresponds to European Patent document No. EP 0794224, also cited on the International Search Report.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that the listed documents are material or constitute "prior art." If the Examiner applies the documents as prior art against any claim in the application and applicants determine that the cited documents do not constitute "prior art" under United States law, applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of the documents.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should the documents be applied against the claims of the present application.

This Information Disclosure Statement is being filed, applicants believe, before the mailing date of a first Office Action on the merits for the above-referenced application. Therefore, applicants do not believe that any fee is due connection with the filing of this Statement. However, if any fee is due or overpayment made, the Commissioner is authorized to charge any such fee, and to credit any overpayment, to our Deposit Account No. 02-4377. Duplicate copies of this sheet are enclosed.

Respectfully submitted,

A handwritten signature in cursive script, reading "Rochelle K. Seide", is written over a horizontal line.

Rochelle K. Seide  
PTO Reg. No. 32,300

Attorney for Applicants

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